

8-1-01

A/  
box  
seg**ADLER & ASSOCIATES**P.O. BOX 710509  
HOUSTON, TEXAS 77271-0509WRITER'S DIRECT DIAL  
(713)-270-5391  
WRITER'S DIRECT FACS.  
(713)-270-5361  
E. MAIL: BADLER1@houston.rr.comINTELLECTUAL PROPERTY LAW  
(PATENT, BIOTECHNOLOGY, COMPUTER,  
TRADEMARK & TRADE SECRET LAW)**Docket No.: D6223CIP/A/D/CIP**The Assistant Commissioner of Patents And Trademarks  
**BOX PATENT APPLICATION**  
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the continuation-in-part patent application in the:

Name of: **O'Brien et al.**For: **Methods For The Early Diagnosis Of Ovarian Cancer****CLAIMS AS FILED**

Fee for:	Small entity	Amount
Basic fee	\$355	\$355
Independent claim in excess of 3      6      x	\$40	\$240
Claims in excess of 20      11      x	\$9	\$ 99
TOTAL FILING FEE		\$694

\_\_\_ Enclosed is check for

☒ Please charge my Deposit Account No. 07-1185 in the total amount of the filing fee and the assignment recordation fee if any.☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1185.☒ Any additional fees under 37 CFR 1.16.☒ Any application processing fees under 37 CFR 1.17.☒ **Small Entity Statement**

A small entity status and its benefit under 37 CFR 1.28(a) are hereby claimed.

10525 U.S. PTO  
07/30/0111011 U.S. PTO  
09/919048  
07/30/01

09519048-073001

X Relate Back--35 U.S.C. 120

This continuation-in-part application claims benefit of U.S. application Serial No. 09/861,966, filed May 21, 2001, which is a divisional application of US Pat. No. 6,268,165, which claims the benefit of priority of USSN 09/039,211, filed March 14, 1998, which claims benefit of provisional patent application USSN 60/041,404, filed March 19, 1997, now abandoned. \_

— Assignment

The application is assigned by the inventors to the UAB Research Foundation. The assignment, Recordation Form Cover Sheet and the \$40 fee is enclosed.

X Sequence Listing

A Sequence Listing along with a Sequence Compliance Statement and a computer readable form of the Sequence Listing is enclosed.

X Power of Attorney

X is attached.

X Address all future communications to:

Benjamin Aaron Adler  
ADLER & ASSOCIATES  
8011 Candle Lane,  
Houston TX 77071  
(713) 270-5391

X Two photocopies of this sheet are enclosed.

Date: \_\_\_\_\_

*Aug 30, 2001*

*Benjamin Adler*

Benjamin Aaron Adler, Ph.D., J.D.  
Agent for Applicant  
Registration No. 35,423

09/039,211

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: O'Brien et al.

FILED: July 30, 2001

DOCKET: D6223CIP/A/D/CIP

FOR: Methods for The Early Diagnosis of Ovarian Cancer

The Assistant Commissioner of Patents and Trademarks

BOX PATENT APPLICATION

Washington, DC 20231

COMPLIANCE OF REQUIREMENTS FOR PATENT APPLICATIONS  
CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE  
DISCLOSURES

Dear Sir:

Applicant provides a computer readable form of the Sequence Listing on the enclosed 3.5 inch disk and a paper copy thereof for the above-referenced application. The disk is a 1.44 Mb IBM-formatted disk. The file is stored as D6223CIP/A/D/CIP SEQ in text format. I hereby state that the content of the paper copy of the Sequence Listing filed as part of the above-captioned application and the enclosed computer readable copy of the Sequence Listing are the same.

Respectfully submitted,

Date: July 30, 2001



Benjamin Aaron Adler, Ph.D., J.D.

Counsel for Applicant

Registration No. 35,423

ADLER & ASSOCIATES  
8011 Candle Lane  
Houston, Texas 77071  
(713) 270-5391

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: O'Brien et al.

FILED: July 30, 2001

FOR: Methods for The Early Diagnosis Of Ovarian Cancer

DOCKET: D6223CIP/A/D/CIP

CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 CFR 1.10

Assistant Commissioner of Patents and Trademarks  
BOX NON-PROVISIONAL PATENT APPLICATION  
Washington, D.C. 20231

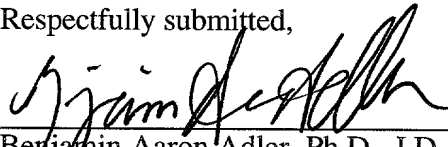
Dear Sir:

I hereby certify that the following documents, which are attached, are being deposited, under 37 CFR 1.10, with the United States Postal Service "Express Mail Post Office to Addressee" service as Express Mail No. EL868053119US in an envelope addressed to: The Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231, BOX NON-PROVISIONAL PATENT APPLICATION.

- 1) Continuation-in-part application: 89 pages of specification (including 9 pages of claims) and 15 sheets of drawings;
- 2) Sequence Listing, Compliance Statement & CRF disk;
- 3) Transmittal letter;
- 4) (1) One Combined Declarations and Power of Attorney;

Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,



Benjamin Aaron Adler, Ph.D., J.D.  
Registration No. 35,423

Date: July 30, 2001

ADLER & ASSOCIATES  
8011 Candle Lane  
Houston, Texas 77071  
(713) 270-5391

2001 JUL 30 10 40 AM '01